

Functional Connectivity Change Associated With Apolipoprotein E Allotypes Precedes Structural Connectivity and Neurodegeneration in Cognitive Normal Older Adults Without Cerebral Aβ Deposition

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Objective Apolipoprotein E (APOE) gene is known to influence cerebral functional connectivity (FC) in Alzheimer's disease continuum. We investigated association between APOE allotypes and FC, structural connectivity, and cortical thickness in amyloid-PET negative cognitive normal older adults (CN).

Methods A total of 188 CN (37 had ϵ_2/ϵ_2 or ϵ_2/ϵ_3 [ϵ_2 group], 113 had ϵ_3/ϵ_3 [ϵ_3 group], and 38 had ϵ_3/ϵ_4 or ϵ_4/ϵ_4 [ϵ_4 group]) were recruited. Voxel-based morphometry and cortical thickness analysis were used to investigate differences in cortical thickness between three APOE allotypes. To investigate integrity of structural connectivity, we analyzed diffusion weighted imaging using fractional anisotropy and mean diffusivity. In terms of FC, differences of FC in default mode network (DMN) among APOE allotypes were measured using functional magnetic resonance imaging.

Results There were no significant differences in age, sex, education, cerebral beta-amyloid (A β) deposition severity, or neuropsychological profiles. No significant differences were found in cortical thickness and structural connectivity among the APOE allotypes. However, FC within the DMN was significantly lower in ϵ 4 and ϵ 2 carriers compared to ϵ 3 homozygotes.

Keywords Apolipoprotein E; Functional neuroimaging; Diffusion tensor MRI; Brain cortical thickness; Cognitive neuroscience.

INTRODUCTION

Alzheimer's disease (AD) is known to have a pathophysiological process that begins decades prior to symptom onset.¹ Synaptic dysfunction evidenced by resting state functional magnetic resonance imaging (fMRI) is known to reflect one of the earliest pathological changes due to AD pathology.² Amyloid cascade model suggests that synaptic dysfunction evidenced by aberration of functional connectivity (FC) is one of

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© This is an Open Access article distributed under the terms of the Creative Commons Attribution Non-Commercial License (https://creativecommons.org/licenses/bync/4.0) which permits unrestricted non-commercial use, distribution, and reproduction in any medium, provided the original work is properly cited. the earliest downstream pathologies after cerebral beta-amyloid (A β) deposition. Thereafter, tau-mediated neuronal injury, neurodegeneration, and cognitive decline are known to follow and the synaptic dysfunction.³

Apolipoprotein E (APOE) gene is a major genetic risk modifier for AD, and it is known to influence FC pattern in AD continuum.⁴ Cognitive normal older adults (CN) who have ϵ 4 alleles of the APOE gene are known to show aberration of the FC even before cerebral A β deposition is detectable in cerebrospinal fluid (CSF) studies or in amyloid positron emission tomography (PET) scans.⁵ Conversely, CN with ϵ 2 alleles are known to maintain synaptic function integrity even after the cascade of AD pathologies has begun.⁶

Resting state fMRI studies have shown that the brain regions particularly vulnerable to FC change from the AD pathologies are the default mode network (DMN).⁷ A study showed that Pittsburgh Compound B (PiB)-PET negative CN

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carrying an ε 4 had decreased FC in anterior cingulate, middle temporal lobe, and parahippocampal area than those not carrying an ε 4.⁵ Others showed that the ε 2 and ε 4 carriers showed decreased FC of anterior cingulate cortex compared with ε 3 homozygotes.⁸

Despite these findings, no previous study has investigated FC difference among ɛ2 carriers, ɛ3 homozygotes, and ɛ4 carriers in CN without cerebral AB. The first study included PiB negative CN only, but it only compared those with and without £4.5 Moreover, the number of subjects with £2 were combined as part of £4 group if the genotype was 2/4 and as non-£4 group if the genotype was 2/3 or 2/2. Thus, the study was neither able to correctly investigate the FC change due to £4 nor study FC difference among ɛ2, ɛ3, and ɛ4. The average age of ε4 group (58.8 years) was statistically younger than the non-ε4 group (63.3 years), so age became another confounding factor. Although the second study included subjects with $\varepsilon 2$, $\varepsilon 3$, and £4, the study did not investigate subjects' cerebral AB status.8 Consequently, the study might have included subjects with or without cerebral AB plaque, failing to elucidate whether the FC changes were due to APOE per se or to varying levels of cerebral Aß deposition. Lastly, none of the studies investigated whether the FC differed according to APOE genotypes in the absence of neurodegeneration, structural connectivity aberrance, and cerebral Aß deposition.

To fill in this gap, we aimed to investigate the resting state FC differences among amyloid-PET negative CN with $\varepsilon 2/\varepsilon 2$ or $\varepsilon 2/3$, $\varepsilon 3/\varepsilon 3$, and $\varepsilon 3/\varepsilon 4$ or $\varepsilon 4/4$. We also explored whether structural connectivity, evidenced by the white matter (WM) integrity, and neurodegenerative, change evidenced by cortical thickness, differed according to APOE allotypes.

METHODS

Subjects

A total of 188 CN individuals with negative amyloid-PET results were recruited from volunteers in the Catholic Aging Brain Imaging database. This database contains brain scans of patients who visited the outpatient clinic at the Catholic Brain Health Center, Yeouido St. Mary's Hospital, The Catholic University of Korea, between 2017 and 2022. Among them, 37 individuals had either $\epsilon 2/\epsilon 2$ or $\epsilon 2/\epsilon 3$ ($\epsilon 2$ group), 113 had $\epsilon 3/\epsilon 3$ ($\epsilon 3$ group), and 38 had $\epsilon 3/\epsilon 4$ or $\epsilon 4/\epsilon 4$ allotypes ($\epsilon 4$ group). All participants were aged ≥ 60 years and had normal cognitive function, as confirmed by the Korean version of the Consortium to Establish a Registry for Alzheimer's Disease (CERAD-K), which includes the Verbal Fluency, 15-item Boston Naming Test, Korean version of the Mini-Mental Status Examination, Word List Memory, Word List Recall, Word List Recognition, Constructional Praxis, and Constructional Recall.⁹ Addition-

ally, normal cognitive status was independently diagnosed by two psychiatric specialists (S.M.W and H.K.L). Subjects with $\epsilon 2/\epsilon 4$ were excluded since $\epsilon 2$ and $\epsilon 4$ are known to have opposite effects against AD pathology. Furthermore, subjects with any current or past diagnosis of mild cognitive impairment or dementia established by the National Institute on Aging and Alzheimer's Association criteria were excluded. The study was conducted in accordance with ethical and safety guidelines set forth by the Institutional Review Board of Yeouido St. Mary's Hospital, College of Medicine, The Catholic University of Korea (IRB number: SC22RIDI0153). The informed consent was waived by the IRB because we only used retrospective data.

MRI acquisition

All patients underwent brain MRI, including structural MRI, resting-state fMRI, and diffusion-weighted imaging (DWI), using a 3T Siemens MAGETOM Skyra machine and 32-channel Siemens head coils (Siemens Medical Solutions, Erlangen, Germany). The following parameters were used for structural MRI: T1-weighted three-dimensional magnetization-prepared rapid gradient-echo sequence with time to echo (TE)= 2.6 ms, repetition time (TR)=1,940 ms, inversion time=979 ms, field-of-view (FOV)=230 mm, matrix=256×256, and voxel size=1.0×1.0×1.0 mm³. The resting-state fMRI was collected using a T2-weighted gradient-echo sequence with TR=2,000 ms, TE=30 ms, matrix=128×128×29, and voxel size=1×1×2 mm³; a total of 150 volumes were acquired over 5 minutes while patients were instructed to keep their eyes closed and think of nothing in particular. Lastly, the following parameters were used for DWI acquisition: matrix=112×112, TR/ TE=3,100/86 ms, b-value=0 and 1,000, FOV=224×224 mm, slice thickness=2 mm, and acquisition time. Whole-brain diffusion tensor imaging (DTI) acquisition was performed with a multislice single-shot spin echo planar pulse sequence using 96 diffusion-encoding directions.

Amyloid-PET acquisition

All subjects underwent amyloid-PET using ¹⁸F-flutemetamol (¹⁸F-FMM). Details regarding the production, data collection, and analytical results of ¹⁸F-FMM have been previously described.¹⁰ In brief, T1 MRI images of each participant were used for co-registration, defining regions of interest (ROIs), and correcting for partial volume effects associated with the expansion of the cerebrospinal spaces due to cerebral atrophy. We used the standardized uptake value ratio (SUVR) 90 minutes post-injection to analyze ¹⁸F-FMM PET data.¹¹ SUVRs of the six cortical ROIs (frontal, superior parietal, lateral temporal, striatum, anterior cingulate cortex, and posterior cingulate cortex/precuneus) were averaged to define global cerebral Aβ

burden. Consistent with cutoff values used in previous ¹⁸F-FMM PET studies, we initially used neocortical SUVR of 0.62 as the cutoff for amyloid-PET negativity.¹⁰ However, two nuclear medicine radiologists separately confirmed amyloid negativity based on visual readings.

Data analysis

fMRI data preprocessing and seed-to-voxel analysis

The CONN toolbox in Statistical Parametric Mapping 12 was used to preprocess fMRI data.¹² The default CONN preprocessing pipeline was used, which included realignment, unwarping, slice-timing correction, co-registering echo planar imaging to T1 structural images, normalization, functional outlier detection and scrubbing, functional spatial smoothing with an 8-mm Gaussian kernel, and anatomical component-based noise correction or denoising.¹³ The waveform of each brain voxel was filtered using a bandpass filter (0.009<f<0.08 Hz) to reduce the effects of low-frequency drift when removing WM, CSF noise components, unwanted subject motion, and physiological noises.

After preprocessing, we carried out seed-to-voxel analysis. The CONN toolbox contains a total of 164 ROIs that can be used as seeds. We selected the posterior cingulate cortex (coordinates 1, -61, 38), one of the major hubs of the DMN, as our seed.¹⁴ We first conducted first-level analyses involving the computation of seed-to-voxel connectivity maps for each subject. Thereafter, we used between-group difference controlling for sex and age to assess whether there were statistically significant differences in DMN among the $\epsilon 2$, $\epsilon 3$, and $\epsilon 4$ groups. All the comparisons throughout the whole brain adopted voxel-wise statistics thresholded at p<0.05, false discovery rate (FDR) corrected, to resolve the problem of multiple comparisons.

DTI analysis using tract-based spatial statistics

We used the standard procedure of voxelwise cross-subject analysis with tract-based spatial statistics (TBSS) to perform DTI analysis. The FMRIB Software Library (FSL; Analysis Group, FMRIB, Oxford, UK) was used to conduct TBSS analysis with the following preprocessing steps: registration and reorientation, EDDY current correction with motion correction, and brain extractions using FSL brain extraction tool (bet).¹⁵ Thereafter, we conducted DTIFit to calculate diffusion parameters using the byces files.

Regarding DTI measures, we investigated fractional anisotropy (FA) and mean diffusivity (MD), which reflect the degree of water molecule diffusion and the average rate of diffusion in all directions, respectively.¹⁶ As described by Yang et al.,¹⁷ nonlinear registration algorithm FNIRT was utilized to normalize FA images to an FA template from the Montreal Neurological Institute (MNI) space. Thenceforth, we used spatially normalized images of all subjects to obtain mean FA images, and they were "skeletonized" to allow comparison across spatially matched tract structures across all subjects. FA data for each subject was projected onto the mean sample-based FA skeleton. Same procedures were repeated to calculate MD values. In order to resolve the problem of multiple comparisons, FDR correction at p<0.05 was utilized for the multivoxel statistics.

Morphometric analysis

FreeSurfer software (version 6.0.0) was used to perform cortical reconstruction and volumetric segmentation of the entire brain.¹⁸ The software is available online at https://surfer.nmr. mgh.harvard.edu. The details of the procedures are described in the previous research,¹⁹ which include removal of non-brain tissue using a hybrid watershed algorithm, bias field correction, automated Talairach transformation, and segmentation of subcortical WM and deep gray matter (GM) structures. Intensity normalization and inflation of the cortical surface were then conducted to locate both the pial surface and the GM/WM boundary. This process enabled us to calculate cortical thickness by measuring the shortest distance between the two surfaces at each point across the cortical mantle.²⁰ For analyses of the entire cortex, we smoothed the cortical map of each subject using a Gaussian kernel with a full width at halfmaximum of 10 mm. We also parcellated the cerebral cortex based on gyral and sulcal information implemented in Free-Surfer. Finally, we used the FDR correction at p<0.05 to correct for multiple comparisons.

Statistical analysis

We used the free and open-source data analysis tool jamovi (version 2.3.18.0) for statistical analysis.²¹ Analysis of variance was used to assess potential differences among the $\varepsilon 2$, $\varepsilon 3$, and $\varepsilon 4$ groups for continuous variables, and the chi-square test was used for categorical variables. When a significant group difference was found, Bonferroni tests were utilized for posthoc analysis. We chose a two-tailed alpha level of 0.05 to indicate statistical significance for all tests.

RESULTS

Baseline demographic and clinical data

Table 1 presents the baseline demographic data for the total sample and for the $\epsilon 2$, $\epsilon 3$, and $\epsilon 4$ groups. All variables were normally distributed, and there were no significant differences in age, sex, education, cerebral A β deposition severity measured using SUVR, or neuropsychological profiles based on

Table	1. Demographic	and clinical	characteristics	of the	study	participants
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Chamatanistias	Total A-PET negative CN	APOE 2/2 or 2/3	APOE 3/3	APOE 3/4 or 4/4	р
Characteristics	(N=188)	(N=37)	(N=113)	(N=38)	
Age (yr)	70.52±7.03	71.30 ± 8.45	70.74±6.63	69.91±6.65	NS
Education (yr)	12.10±4.43	11.87±4.62	12.30 ± 4.51	11.76 ± 4.10	NS
Sex, M:F	57:131	11:26	36:77	10:28	NS
SUVR	0.514 ± 0.005	0.495 ± 0.081	0.519 ± 0.069	0.516±0.063	NS
CERAD-K Battery					
VF	15.95±3.98	15.43±4.19	16.41±4.17	15.11±2.95	NS
BNT	12.62±1.74	12.08±2.17	12.79±1.62	12.53±1.54	NS
MMSE	28.08±1.44	28.12±1.48	28.11±1.49	28.03±1.37	NS
WLM	19.32±3.16	18.68±3.03	19.35±3.26	19.90±2.94	NS
СР	10.51±0.89	10.41 ± 0.98	10.56±0.87	10.45±0.89	NS
WLR	6.41±1.44	6.11±1.29	6.46±1.49	6.55±1.41	NS
WLRc	9.38±0.81	9.41±0.96	9.42±0.81	9.26±0.98	NS
CR	7.52±2.73	6.81±2.70	7.74±2.76	7.55±2.62	NS
CERAD total score	74.17±8.55	82.72±10.38	81.34±9.12	73.79±7.40	NS

Values are presented as mean±standard deviation or number. A-PEN, amyloid positron emission tomography; CN, cognitive normal older adults; APOE, Apolipoprotein E; M, male; F, female; SUVR, standardized uptake value ratio; CERAD-K, The Korean Version of Consortium to Establish A Registry For Alzheimer's Disease; VF, Verbal Fluency; BNT, 15-Item Boston Naming Test; MMSE, Mini Mental Status Examination; WLM, Word List Memory; CP, Constructional Praxis; WLR, Word List Recall; WLRc, Word List Recognition; CR, Constructional Recall; NS, not significant

the CERAD-K (Table 1).

Group difference in intrinsic FC, WM integrity, and cortical thickness

There were no group differences in cortical thickness analyzed using FreeSurfer or in structural connectivity analyzed using TBSS. Figure 1 shows a statistical map representing the DMN based on seed-to-voxel analysis, with posterior cingulate cortex as the seed, determined across all subjects. The group seed-to-voxel analysis showed significantly higher FC of the left superior parietal lobe in the ϵ 3 group than in the ϵ 2 group (p<0.05, FDR corrected). The ϵ 3 group also showed higher FC in the left temporal pole than the ϵ 4 group (p<0.05, FDR corrected). Lastly, there was no difference in FC between the ϵ 2 and ϵ 4 groups (Figure 2 and Table 2).

DISCUSSION

To the best of our knowledge, this is the first study investigating differences in neurodegeneration, structural connectivity, and resting state FC, among amyloid-PET negative CN with ϵ_2 , ϵ_3 , and ϵ_4 .

Earlier research suggested that CN with ε 4 have lower cortical thickness, while CN with ε 2 carriers have higher cortical thickness than CN with ε 3 homozygotes.²² However, more recent studies have shown that APOE-associated neurodegeneration in the trajectory of AD starts after A β pathology initi-



Figure 1. Spatial maps of the resting state intrinsic connectivity networks identified by seed-to-voxel analysis of all subjects in default mode network (posterior cingulate cortex as the seed), false discovery rate corrected p<0.0001. DMN, default mode network.

ates.¹ We demonstrated that cortical thickness and structural connectivity did not differ among $\epsilon 2$, $\epsilon 3$, and $\epsilon 4$ groups in the absence of cerebral A β deposition. Thus, our results confirmed the amyloid cascade hypothesis, which suggests that A β is an upstream pathology of the detrimental downstream cascade of neurodegeneration and cognitive dysfunction.²³

Consistent with previous studies,⁷ we showed that the ϵ 4 group had lower FC in the left temporal pole than the ϵ 3 group. As stated earlier, cortical thickness and structural connectivity did not differ among groups. Thus, we advanced previous research and confirmed the amyloid cascade hypothesis by showing that the aberration of DMN FC associated with ϵ 4 occurred not only before the onset of clinical symptoms and cerebral A β deposition but also preceded neurodegeneration and structural connectivity alterations.²³ The lateral temporal pole is an important part of the dorsal DMN system, which is mainly involved in memory.²⁴ Thus, the FC decrement of the



Figure 2. Statistical map representing group difference in default mode network (p<0.05, false discovery rate corrected). A: Significantly higher FC of the left superior parietal lobe in the ϵ 3 group than in the ϵ 2 group. B: The ϵ 3 group also showed higher FC in the left temporal pole than the ϵ 4 group. C: There was no difference in FC between the ϵ 2 and ϵ 4 groups (for all p<0.05 false discovery rate corrected). DMN, default mode network; APOE, Apolipoprotein E; FC, functional connectivity.

Table 2. Results of voxel-wise functional connectivity analysis

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Region	L/R	Cluster	Tscore	p*	MNI (x, y, z)
Group differences					
APOE 3/3>APOE 2/2 or 2/3					
Left superior lobe	L	238	4.14	< 0.05	-26, -40, +58
APOE 3/3>APOE 3/4 or 4/4					
Temporal lobe	L	338	3.46	< 0.05	-48, +08, -36
APOE 2/2 or 2/3 vs. APOE 3/4 or 4/4					
No significant differences					

*false discovery rate corrected. L, left; R, right; MNI, Montreal Neurological Institute space; APOE, Apolipoprotein E

left temporal pole might be associated with the subtle cognitive decline that CN with ε4 allotypes experience.²⁵

A previous study showed that FC of DMN were lower in CN with ϵ 2 than in CN with ϵ 3, but the study did not analyze participants' cerebral AB status.²⁶ Similar to the E4-associated FC aberrations, we showed novel findings of lower DMN FC in £2 carriers than in £3 homozygotes in the absence of cerebral Aß deposition, neurodegeneration, structural connectivity change, and cognitive decline. $\epsilon 2$ is associated with slower spreading of AB and a more efficient compensatory mechanism against AD pathology than £3 or £4.27 Studies suggested that this protective effect of the $\varepsilon 2$ alleles might be related to decreased neural activity.^{28,29} Others showed that £2 carriers have lower long-term potentiation activity, which results in lower Aß secretion and excitotoxicity and thus promotes neuroprotection.³⁰ However, despite the known opposite effects of £2 and £4 against AD pathology, our study found that both ε2 and ε4 carriers had lowered DMN FC when compared to the ɛ3 homozygotes. Likewise, it is not clear why the FC of DMN did not differ between ɛ2 and ɛ4 carriers. The decrement of FC observed in £2 carriers could be associated with higher brain reserve needing less synaptic compensation, whereas the decrement in £4 carriers could be linked with less synaptic efficiency linked with early AB pathology.^{2,31,32} Nev-

1058 Psychiatry Investig 2023;20(11):1054-1060

ertheless, whether decreased FC is associated with aberration or neuroprotection is still under debate. Thus, longitudinal studies are needed to confirm our speculations.

Our study has additional strengths. By excluding subjects having $\varepsilon 2/\varepsilon 4$, we were able to investigate AD pathological changes associated with $\varepsilon 2$, $\varepsilon 3$, and $\varepsilon 4$ more clearly. In addition, we included subjects only with age ≥ 60 years, having normal cognitive function confirmed using the CERAD-K, and confirmed to have no A β pathology using amyloid-PET. The careful selection criteria enabled us to include older adults (average age higher than 70 years) having normal cognition with balanced baseline demographic data and to prevent diverse confounding factors.

Despite the above findings, there are still some additional issues that need to be addressed before the resting-state FC, or the aberrance of DMN FC, can be implemented as a biomarker for AD.¹ One of the most important obstacles is the fact that, although DMN FC changes have been repeatedly shown in group level, the ability to meaningfully use fMRI data on a single-subject level has been very limited.³³ Developing novel fMRI data acquisition methods, such as using functional brain atlas rather than structural brain atlas, are needed to improve the signal-to-noise ratio of the data, which may increase the power of statistical analyses. In addition, like

SUVR scores of amyloid-PET, objective cutoff scores of FC measures should be developed to enhance its utility in the single-subject level. The inconsistency of FC measures across multiple scanner types, or so-called inter-scanner variability, is another important factor limiting its generalizability in clinical settings.³⁴ Lastly, pre-processing and analysis of fMRI data requires several hours, so a faster automated analysis pipeline is needed for fMRI results be transformed from bench to bedside.

Our study has several limitations that must be acknowledged. Firstly, it was a cross-sectional study, which limits our ability to interpret causal relationships. Additionally, all subjects were from a single center, which limits the generalizability of our results. Moreover, we were unable to investigate tau pathologies using either tau-PET or CSF studies, which means that the FC difference we observed could have been influenced by tau pathologies as well as APOE allotypes. Thus, longitudinal studies are needed to confirm whether the FC change associated with APOE truly preceded $A\beta$ deposition, tau pathology, and neurodegeneration.

In conclusion, our results support the contemporary amyloid cascade model, showing that CN older adults with $\epsilon 2$ carriers, $\epsilon 3$ homozygotes, and $\epsilon 4$ carriers do not exhibit differences in structural connectivity and cortical thickness in the absence of cerebral A β deposition. Our study also revealed novel findings of DMN FC decrement for $\epsilon 4$ and $\epsilon 2$ carriers, compared with $\epsilon 3$ homozygotes. Therefore, we advanced previous research by demonstrating that both $\epsilon 4$ and $\epsilon 2$ exhibit APOE-associated DMN FC changes before A β deposition, structural changes, and neurodegeneration.

Availability of Data and Material

The datasets generated or analyzed during the study are not publicly available because the data include patient information but are available from the corresponding author on reasonable request.

Conflicts of Interest

Yoo Hyun Um and Hyun Kook Lim, a contributing editor of the *Psychiatry Investigation*, were not involved in the editorial evaluation or decision to publish this article. All remaining authors have declared no conflicts of interest.

Author Contributions

Conceptualization: Sheng-Min Wang, Hyun Kook Lim. Data curation: Sheng-Min Wang, Hyun Kook Lim. Formal analysis: Sheng-Min Wang, Hyun Kook Lim. Funding acquisition: Sheng-Min Wang, Hyun Kook Lim. Investigation: Yoo Hyun Um, Dong Woo Kang, Sunghwan Kim. Methodology: Sheng-Min Wang, Hyun Kook Lim, Sunghwan Kim. Project administration: Yoo Hyun Um, Dong Woo Kang, Chang Uk Lee. Resources: Sheng-Min Wang, Sunghwan Kim. Software: Sheng-Min Wang, Sunghwan Kim. Supervision: Hyun Kook Lim, Chang Uk Lee. Validation: Yoo Hyun Um, Dong Woo Kang. Visualization: Chang Uk Lee, Yoo Hyun Um. Writing—original draft: Sheng-Min Wang. Writing—review & editing: Sheng-Min Wang, Hyun Kook Lim.

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